



**Request for Proposal
for**

**City of Santa Clara dba Silicon Valley Power
Data Management and Reporting System**

May 3, 2016

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1. INSTRUCTIONS

Silicon Valley Power (SVP) is seeking proposals from qualified firms to provide a system that can collect data from a wide variety sources, perform complex analysis on the data, and provides a robust reporting platform.

A detailed description of the required functionality can be found in section 2 of this document.

1.1 Attachments

The attachments below are included with this Request for Proposals (“RFP”). The items identified with an asterisk (*) must be completed, signed by the appropriate representative of the company, and returned with the submittal.

Attachment A – Scope of Work/Services*

Attachment B – Proposer’s Information Form*

Attachment C – Certification of Non-Discrimination*

Attachment D – Agreement for Services

Attachment E – Cost Proposal Format*

1.2 Pre-proposal Conference.

There is an optional pre-proposal conference on May 26, 2016 from 9 to 12 AM at 881 Martin Avenue, Santa Clara CA. A conference bridge will be provided for those who cannot appear in person. For further information, please call or email Bob Levy at blevy@santaclaraca.gov.

Examination of Proposal Documents.

The submission of a proposal shall be deemed a representation and certification by the Proposer that they:

- 1.2.1. Have carefully read and fully understand the information that was provided by Silicon Valley Power (SVP) to serve as the basis for submission of this proposal.
- 1.2.2. Have the capability to successfully undertake and complete the responsibilities and obligations of the proposal being submitted.
- 1.2.3. Represent that all information contained in the proposal is true and correct.
- 1.2.4. Did not, in any way, collude, conspire to agree, directly or indirectly, with any person, firm, corporation or other Proposer in regard to the amount, terms or conditions of this proposal.
- 1.2.5. Acknowledge that SVP has the right to make any inquiry it deems appropriate to substantiate or supplement information supplied by Proposer, and Proposer hereby grants SVP permission to make these inquiries, and to provide any and all related documentation in a timely manner.

No request for modification of the proposal shall be considered after its submission on grounds that Proposer was not fully informed of any fact or condition.

1.3 Questions

Any questions by the Proposer regarding this RFP or the project must be put in writing and received by SVP no later than 3:00 p.m. on June 7, 2016. Correspondence shall be addressed to:

Silicon Valley Power
Attention: Bob Levy
881 Martin Avenue
Santa Clara, CA 95050
blevy@santaclaraca.gov

SVP shall not be responsible for nor be bound by any oral instructions, interpretations or explanations issued by SVP or its representatives.

Responses from SVP to questions by any Proposer will be communicated in writing to all recipients of this RFP. Questions received after the date and time stated above will not be accepted, and will be returned to senders without response.

1.4 Addenda

Any addenda issued by SVP shall be in writing, shall become a part of this RFP, and shall be acknowledged and responded to by Proposer.

1.5 Submission of Proposals

All proposals shall be submitted to:
Silicon Valley Power
Attention: Bob Levy
881 Martin Avenue
Santa Clara, CA 95050

Please note that faxes, electronic submissions, or any media other than hard copies are not acceptable.

Proposals must be delivered no later than 3:00 p.m. on June 10, 2016. All proposals received after that time will be returned to the Proposer unopened.

The Proposer shall submit two (2) copies along with one (1) electronic version (CD) of its proposal in a sealed envelope, including one (1) original, clearly marked "Original", addressed as noted above, bearing the Proposer's name and address clearly marked, "RFP for Silicon Valley Power Data Management System."

1.6 Withdrawal of Proposals

A Proposer may withdraw its proposal at any time before the expiration of the time for submission of proposals as provided in the RFP by delivering a written request for withdrawal signed by, or on behalf of, the Proposer.

1.7 Rights of Silicon Valley Power

This RFP does not commit SVP to enter into a contract, nor does it obligate SVP to pay for any costs incurred in preparation and submission of proposals or in anticipation of a contract. SVP reserves the right to:

- Make the selection based on its sole discretion;
- Reject any and all proposals;
- Issue subsequent Requests for Proposals;
- Postpone opening proposals for its own convenience;
- Remedy errors in the Request for Proposals process;
- Approve or disapprove the use of particular subconsultants;
- Negotiate with any, all or none of the Proposers;
- Accept other than the lowest offer;
- Waive informalities and irregularities in the Proposals; and/or
- Enter into an agreement with another Proposer in the event the originally selected Proposer defaults or fails to execute an agreement with SVP.

1.8 RFP Timeline

The expected RFP Timeline is as follows:

RFP Issued	Insert dates
RFP Published	May 3, 2016
Vendor conference	May 25, 2016
Proposals must be submitted by	June 10, 2016
SVP interviews proposers	June 30, 2016
SVP selects successful proposal	July 11, 2016
City Council approves successful proposal and award of contract	Sept.20, 2016

SVP reserves the right to add, remove or combine steps in the timeline, and/or compress or extend the timeline as SVP, in its sole discretion, sees fit.

1.9 Information to be Submitted

These guidelines govern the format and content of the proposal, and the approach to be used in its development and presentation. The intent of the RFP is to encourage responses that clearly communicate the Proposer's understanding of SVP's requirements and its approach to successfully provide the products and/or services on time and within budget. Only that information which is essential to an understanding and evaluation of the proposal should be submitted. Items not related to the RFP and proposal, e.g., generalized brochures, marketing material, etc., will not be considered in the evaluation.

All proposals shall address the following items. The proposals must address the items in the order listed below, and shall be numbered 1 through 8 in the proposal document. Please include a Table of Contents preceding the Chapters.

Chapter 1 – Proposal Summary.

This Chapter shall discuss the highlights, key features and distinguishing points of the Proposal. A separate sheet shall include a list of individuals and contacts for this Proposal and how to communicate with them. Limit this Chapter to a total of three (3) pages, including the separate sheet.

Chapter 2 – Profile on the Proposing Firm(s).

This Chapter shall include a brief description of the Prime Proposer's firm, including firm name, address, phone number, email address and primary contact person; brief firm history, including the current permanent staff size as well as local organization structure; and, a discussion of the firm's financial stability, capacity and resources.

Additionally, this section shall include a listing of any claim, lawsuit or litigation and the result of that action resulting from (a) any public project undertaken by the Proposer either as a contractor or subcontractor or by its subcontractors where litigation is still pending or has occurred within the last five years, or (b) any type of project where claims or settlements were paid by the consultant or its insurers within the last five (5) years.

Chapter 3 – Qualifications of the Firm.

This Chapter shall include a brief description of the Proposer's qualifications and previous experience supplying like services to similar client. Include all areas of expertise, scope of services provided, and relevant experience, including description of each project, role of professional for that project and date completed. Include product provided, the total project cost, the period over which the provision and training was completed, and the name, title, phone number and email address of clients to be contacted for references. Give a brief statement of the firm's adherence to the schedule and budget for each project.

Five (5) references from clients with similar projects must be submitted along with the names and telephone numbers of contact persons.

Chapter 4 –Product Description, Work Plan or Proposal.

This Chapter shall present a well-articulated service plan. Include a full description of major services provided, tasks and subtasks. This section of the proposal shall establish that the Proposer understands SVP's objectives and work requirements and Proposer's ability to satisfy those objectives and requirements. Succinctly describe the proposed approach for addressing the required services, providing the required product and the firm's ability to meet SVP's schedule, outlining the approach that would be undertaken in providing the requested services. Describe the project understanding, detailed project approach and methodology. List specific proposed services and support and training services to be provided.

Chapter 5 – Project Schedule.

This Chapter shall include a projected timeline for completing the project including the start date, order dates, delivery time, installation, and training, and shall indicate

completion dates from date the order is received.

Chapter 6 – Project Staffing.

This Chapter shall discuss how the Proposer would staff this project. Key personnel will be an important factor considered by the review committee. Changes in key personnel may be cause for rejection of the proposal. Include proposed project management structure, including identification of the project consultant and individuals that will be assigned to the project.

Chapter 7 – Proposal Exceptions.

This Chapter shall discuss any exceptions or requested changes that Proposer has to SVP's RFP conditions, requirements and agreement. If there are no exceptions noted, it is assumed the Proposer will accept all conditions and requirements identified in Attachment D –“Agreement for Services.” Items not accepted will not be open to later negotiation.

Chapter 8 – Proposal Costs Sheet and Rates.

This Chapter shall include the proposed costs to provide the services desired. Include any cost and price information, plus a not-to-exceed amount, that would be contained in a potential agreement with SVP. Attachment E, “Sample Cost Proposal Format,” is included and should be used by all Proposers. The hourly rates may be used for pricing the cost of additional services outlined in the Scope of Work. The cost of the project, including the total hours and hourly rates by staff classification, and the resulting all-inclusive fee for the project must be included. Fees must include all anticipated costs, including travel, per diem, and out of pocket expenses. Please note SVP of Santa Clara does not pay for services before it receives them. Therefore, do not propose contract terms that call for upfront payments or deposits.

1.10 Contract Type and Method of Payment

It is anticipated that the agreement resulting from this RFP, if awarded, will be an Agreement for Services. The method of payment to the successful Proposer shall be for services provided based on established rates for services (Weekly Rates, Monthly Rates, etc.) with a maximum “not to exceed” fee as set by the Proposer in the proposal or as negotiated between the Proposer and SVP as being the maximum cost to perform all work. This figure shall include direct costs and overhead, such as, but not limited to, materials, delivery, transportation, communications, and any subcontracted items of work.

Proposers shall be prepared to accept the terms and conditions of the Agreement, including Insurance Requirements in Attachment D. If a Proposer desires to take exception to the Agreement, Proposer shall provide the following information in Chapter 7 of their submittal package. Please include the following:

- Proposer shall clearly identify each proposed change to the Agreement, including all relevant Attachments.
- Proposer shall furnish the reasons for each proposed change, as well as specific recommendations for alternative language.

The above factors will be taken into account in evaluating proposals. Proposals that

take exceptions to the proposed Agreement may be determined by SVP, at its sole discretion, to be unacceptable and no longer considered for award.

1.11 Insurance Requirements

The selected Proposer(s), at Proposer's sole cost and expense and for the full term of the agreement or any extension thereof, shall obtain and maintain, at a minimum, all of the insurance requirements outlined in Attachment D, Exhibit C.

All policies, endorsements, certificates and/or binders shall be subject to the approval of SVP of Santa Clara as to form and content. These requirements are subject to amendment or waiver, if so approved in writing by the City of Santa Clara. The selected Proposer agrees to provide SVP with a copy of said policies, certificates and/or endorsement upon award of contract.

1.12 Review and Selection Process

City staff will evaluate the proposals provided in response to this RFP based on the following criteria:

- Quality and completeness of proposal
- Quality, performance and effectiveness of the solution, goods and/or services to be provided by the Proposer
- Proposer's experience, including the experience of staff to be assigned to the project, with engagements of similar scope and complexity
- Cost to SVP
- Proposer's financial stability and length of time in business
- Proposer's ability to perform the work within the time specified
- Proposer's prior record of performance with City or others
- Proposer's ability to provide future records, reports, data and/or services
- Proposer's compliance with applicable laws, regulations, policies (including city council policies), guidelines and orders governing prior or existing contracts performed by the contractor.

1.13 Public Nature of Proposal Material

Responses to this RFP become the exclusive property of SVP of Santa Clara. At such time as SVP awards a contract, all proposals received in response to this RFP become a matter of public record and shall be regarded as public records, with the exception of those elements in each proposal which are defined by the Proposer as business or trade secrets and plainly marked as "Confidential," "Trade Secret," or "Proprietary." SVP shall not in any way be liable or responsible for the disclosure of any such proposal or portions thereof, if they are not plainly marked as "Confidential," "Trade Secret," or "Proprietary," or if disclosure, in SVP's sole discretion, is required under the California Public Records Act as addressed below. Any proposal which contains language purporting to render all or significant portions of the proposal "Confidential," "Trade Secret," or "Proprietary" shall be regarded as

non-responsive.

Although the California Public Records Act recognizes that certain confidential trade secret information may be protected from disclosure, SVP of Santa Clara may determine, in its sole discretion that the information that a Proposer submits is not a trade secret. If a request is made for information marked "Confidential," "Trade Secret," or "Proprietary," SVP shall provide the Proposer who submitted the information reasonable notice to allow the Proposer to seek protection from disclosure by a court of competent jurisdiction, at the Proposer's sole expense.

1.14 Collusion

By submitting a proposal, each Proposer represents and warrants that its proposal is genuine and made in the interest of or on behalf of any person not named therein; that the Proposer has not directly induced or solicited any other person to submit a sham proposal or any other person to refrain from submitting a proposal; and that the Proposer has not in any manner sought collusion to secure any improper advantage over any other person submitting a proposal.

1.15 Disqualification

Factors, such as, but not limited to, any of the following, may disqualify a proposal without further consideration:

- Evidence of collusion, directly or indirectly, among Proposers in regard to the amount, terms or conditions of this proposal;
- Any attempt to improperly influence any member of the evaluation team;
- Existence of any lawsuit, unresolved contractual claim or dispute between Proposer and SVP;
- Evidence of incorrect information submitted as part of the proposal;
- Evidence of Proposer's inability to successfully complete the responsibilities and obligations of the proposal; and
- Proposer's default under any previous agreement with SVP.

1.16 Non-conforming Proposal

A proposal shall be prepared and submitted in accordance with the provisions of these RFP instructions and specifications. Any alteration, omission, addition, variance, or limitation of, from or to a proposal may be sufficient grounds for non-acceptance of the proposal, at the sole discretion of SVP.

1.17 Gratuities

No person shall offer, give or agree to give any City employee or consultant any gratuity, discount or offer of employment in connection with the award of contract by SVP. No City employee or consultant shall solicit, demand, accept or agree to accept from any other person a gratuity, discount or offer of employment in connection with a City contract.

1.18 Firm or Person Not Eligible to Submit a Proposal

In order to avoid any conflict of interest or perception of a conflict of interest, Proposer(s) selected to provide professional services under this RFP will be subject to the following requirements:

- The consultant or other entity who works on the procurement will be precluded from submitting proposals or bids as a prime contractor or subcontractor.
- The consultant or any other entity who participated in the procurement shall not have a financial, ownership or other interest in any potential Proposer.

ATTACHMENT A

Scope of Services

A. Project Description

Silicon Valley Power (SVP) seeks to implement a data collection and reporting solution for the creation of its legally required and highly desirable standard reports. The goal of the project is to select and implement a solution that will accommodate SVP's current and future reporting needs.

The system will provide SVP personnel with an intuitive interface that allows for the creation of ad-hoc reports, automated reports, and management dashboards. The system will be built on a data repository that can automatically or manually collect, translate, and load data from both internal and external data sources.

B. Background

The existing reporting environment is manually driven and not effectively coordinated. The current process of collecting, compiling, and distributing information results in redundant staff work, inconsistent results, and limited visibility. The implementation of a data warehouse will provide greater visibility into the business, faster response to market conditions, better and more complete data for long-range planning, increased staff efficiency and consistency of data used and reported, and greater opportunities for collaboration between people.

The implementation of a data warehouse is expected to provide the following business benefits:

- Improved availability of analytic information
 - By providing an easy to use user interface (UI) that allows for the creation of ad-hoc analytical reports
 - By providing management dashboards that are updated in real-time
- Improved data availability
 - By reducing the dependency on multiple parties to collect and compile data
- Improved data consistency
 - By automating the collection of data so that reports are developed using a single source of data
- Improved data quality
 - By automating the extraction, translation, and loading of data human error is removed from the process
- Improved data security
- Improved access to historical data
- Reduction in staff hours required to prepare the data and create the reports
- Reduction in staff hours trying to interpret data differences identified by compliance organizations

- Reduced risk of sending inconsistent data to compliance organizations

SVP's decision makers, the Real Time Desk, Compliance, the Resources Group (including Settlements), and Customer Services will have access to the system and be able to develop ad-hoc reports, scheduled reports, and management dashboards. This system could be expanded in the future to include Engineering, Generation, and T&D Departments, if desired.

C. Description of Required Services

Please refer to section 2 of the SVP Data Warehouse RFP.

D. Required Proposal Components

1. All information set forth in Section 2 of the RFP; and

ATTACHMENT B
Proposer's Information Form

PROPOSER (please print): _____

Name: _____

Address: _____

Telephone: _____

FAX: _____

Contact person, title, telephone number, email address and fax number: _____

Proposer, if selected, intends to carry on the business as (check one)

- ☐ Individual
- ☐ Joint Venture
- ☐ Partnership
- ☐ Corporation

When incorporated? _____

In what state? _____

When authorized to do business in California? _____

☐ Other (explain): _____

ADDENDA

To assure that all Proposers have received each addendum, check the appropriate box(es) below. Failure to acknowledge receipt of an addendum/addenda may be considered an irregularity in the Proposal:

Addendum number(s) received:

- ☐ 1
- ☐ 2
- ☐ 3
- ☐ 4
- ☐ 5
- ☐ 6

Or,

☐ _____ No Addendum/Addenda Were Received (check and initial).

PROPOSER'S SIGNATURE

No proposal shall be accepted which has not been signed in ink in the appropriate space below:

By signing below, the submission of a proposal shall be deemed a representation and certification by the Proposer that they have investigated all aspects of the RFP, that they are aware of the applicable facts pertaining to the RFP process, its procedures and requirements, and they have read and understand the RFP. No request for modification of the proposal shall be considered after its submission on the grounds that the Proposer was not fully informed as to any fact or condition.

**(1) If Proposer is *INDIVIDUAL*,
sign here:**

Date: _____

Proposer's Signature

Proposer's typed name and title

**(2) If Proposer is *PARTNERSHIP* or
JOINT VENTURE, at least (2) Partners
or each of the Joint Venturers
shall sign here:**

Partnership or Joint Venture Name
(type or print)

Date: _____

Member of the Partnership or Joint Venture
signature

Date: _____

Member of the Partnership or Joint Venture
signature

**(3) If Proposer is a *CORPORATION*,
the duly authorized officer(s) shall
sign as follows:**

The undersigned certify that they are respectively:____
(Title) and _____ (Title)
of the corporation named below; that they are
designated to sign the Proposal Cost Form by
resolution (attach a certified copy, with corporate
seal, if applicable, notarized as to its authenticity or
Secretary's certificate of authorization) for and on
behalf of the below named CORPORATION, and
that they are authorized to execute same for and on
behalf of said CORPORATION.

Corporation Name (type or print)

By:_____

Title:_____

Dated:_____

By:_____

Title:_____

Dated:_____

ATTACHMENT C
Certification of Nondiscrimination

As suppliers of goods or services to the City of Santa Clara, the firm and individuals listed below certify that they do not discriminate in employment of any person because of race, color, gender, age, religion, disability, national origin, ancestry, sexual orientation, housing status, marital status, or familial status; and that they are in compliance with all Federal, State and local laws, directives and executive orders regarding nondiscrimination in employment.

**(1) If Proposer is *INDIVIDUAL*,
sign here:**

Date: _____

Proposer's Signature

Proposer's typed name and title

**(2) If Proposer is *PARTNERSHIP* or
JOINT VENTURE, at least (2) Partners
or each of the Joint Venturers
shall sign here:**

Partnership or Joint Venture Name
(type or print)

Date: _____

Member of the Partnership or Joint Venture
signature

Date: _____

Member of the Partnership or Joint Venture
signature

**(3) If Proposer is a *CORPORATION*,
the duly authorized officer(s) shall
sign as follows:**

The undersigned certify that they are respectively:____
(Title) and _____ (Title)
of the corporation named below; that they are
designated to sign the Proposal Cost Form by
resolution (attach a certified copy, with corporate
seal, if applicable, notarized as to its authenticity or
Secretary's certificate of authorization) for and on
behalf of the below named CORPORATION, and
that they are authorized to execute same for and on
behalf of said CORPORATION.

Corporation Name (type or print)

By:_____
Title:_____
Dated:_____

By:_____
Title:_____
Dated:_____

ATTACHMENT D
Agreement for Services – SAMPLE ONLY

Execution of Attachment D is required, as is set forth in Article 6 of the RFP.

EBIX Insurance No. _____

AGREEMENT FOR THE PERFORMANCE OF SERVICES
BY AND BETWEEN THE
CITY OF SANTA CLARA, CALIFORNIA,
AND
***INSERT CONTRACTOR’S NAME**

PREAMBLE

This agreement for the performance of services (“Agreement”) is by and between *insert Contractor’s name, a[n] *choose one: a _____ (enter State name) corporation/partnership/individual, with its principal place of business located at *insert Contractor’s address (“Contractor”), and the City of Santa Clara, California, a chartered California municipal corporation with its primary business address at 1500 Warburton Avenue, Santa Clara, California 95050 (“City”). City and Contractor may be referred to individually as a “Party” or collectively as the “Parties” or the “Parties to this Agreement.”

RECITALS

City desires to secure professional services more fully described in this Agreement, at Exhibit A, entitled “Scope of Services”; and

Contractor represents that it, and its subcontractors, if any, have the professional qualifications, expertise, necessary licenses and desire to provide certain goods and/or required services of the quality and type which meet objectives and requirements of City; and,

The Parties have specified herein the terms and conditions under which such services will be provided and paid for.

The Parties agree as follows:

AGREEMENT PROVISIONS

1. EMPLOYMENT OF CONTRACTOR.

City hereby employs Contractor to perform services set forth in this Agreement. To accomplish that end, City may assign a Project Manager to personally direct the Services to be provided by Contractor and will notify Contractor in writing of City’s choice. City shall pay for all such materials and services provided which are consistent with the terms of this Agreement.

2. SERVICES TO BE PROVIDED.

Except as specified in this Agreement, Contractor shall furnish all technical and professional services, including labor, material, equipment, transportation, supervision and expertise (collectively referred to as “Services”) to satisfactorily complete the work required by City at his/her own risk and expense. Services to be provided to City are more fully described in Exhibit A entitled “SCOPE OF SERVICES.” All of the exhibits referenced in this Agreement are attached and are incorporated by this reference.

3. COMMENCEMENT AND COMPLETION OF SERVICES.

- A. Contractor shall begin providing the services under the requirements of this Agreement upon receipt of written Notice to Proceed from City. Such notice shall be deemed to have occurred three (3) calendar days after it has been deposited in the regular United States mail. Contractor shall complete the Services within the time limits set forth in the Scope of Services or as mutually determined in writing by the Parties.
- B. When City determines that Contractor has satisfactorily completed the Services, City shall give Contractor written Notice of Final Acceptance. Upon receipt of such notice, Contractor shall not incur any further costs under this Agreement. Contractor may request this determination of completion be made when, in its opinion, the Services have been satisfactorily completed. If so requested by the contractor, City shall make this determination within fourteen (14) days of its receipt of such request.

4. QUALIFICATIONS OF CONTRACTOR - STANDARD OF WORKMANSHIP.

Contractor represents and maintains that it has the necessary expertise in the professional calling necessary to perform services, and its duties and obligations, expressed and implied, contained herein, and City expressly relies upon Contractor’s representations regarding its skills and knowledge. Contractor shall perform such services and duties in conformance to and consistent with the professional standards of a specialist in the same discipline in the State of California.

The plans, designs, specifications, estimates, calculations, reports and other documents furnished under Exhibit A shall be of a quality acceptable to City. The criteria for acceptance of the work provided under this Agreement shall be a product of neat appearance, well organized, that is technically and grammatically correct, checked and having the maker and checker identified. The minimum standard of appearance, organization and content of the drawings shall be that used by City for similar projects.

5. TERM OF AGREEMENT.

Unless otherwise set forth in this Agreement or unless this paragraph is subsequently modified by a written amendment to this Agreement, the term of this Agreement shall begin on the Effective Date of this Agreement and terminate on **insert end date*.

6. MONITORING OF SERVICES.

City may monitor the Services performed under this Agreement to determine whether Contractor's operation conforms to City policy and to the terms of this Agreement. City may also monitor the Services to be performed to determine whether financial operations are conducted in accord with applicable City, county, state, and federal requirements. If any action of Contractor constitutes a breach, City may terminate this Agreement pursuant to the provisions described herein.

7. WARRANTY.

Contractor expressly warrants that all materials and services covered by this Agreement shall be fit for the purpose intended, shall be free from defect, and shall conform to the specifications, requirements, and instructions upon which this Agreement is based. Contractor agrees to promptly replace or correct any incomplete, inaccurate, or defective Services at no further cost to City when defects are due to the negligence, errors or omissions of Contractor. If Contractor fails to promptly correct or replace materials or services, City may make corrections or replace materials or services and charge Contractor for the cost incurred by City.

8. PERFORMANCE OF SERVICES.

Contractor shall perform all requested services in an efficient and expeditious manner and shall work closely with and be guided by City. Contractor shall be as fully responsible to City for the acts and omissions of its subcontractors, and of persons either directly or indirectly employed by them, as Contractor is for the acts and omissions of persons directly employed by it. Contractor will perform all Services in a safe manner and in accordance with all federal, state and local operation and safety regulations.

9. BUSINESS TAX LICENSE REQUIRED.

Contractor must comply with Santa Clara City Code section 3.40.060, as that section may be amended from time to time or renumbered, which requires that any person who transacts or carries on any business in the City of Santa Clara pay business license tax to the City. A business tax certificate may be obtained by completing the Business Tax Affidavit Form and paying the applicable fee at the Santa Clara City Hall Municipal Services Division.

10. RESPONSIBILITY OF CONTRACTOR.

Contractor shall be responsible for the professional quality, technical accuracy and coordination of the Services furnished by it under this Agreement. Neither City's review, acceptance, nor payments for any of the Services required under this Agreement shall be construed to operate as a waiver of any rights under this Agreement or of any cause of action arising out of the performance of this Agreement and Contractor shall be and remain liable to City in accordance with applicable law for all damages to City caused by Contractor negligent performance of any of the Services furnished under this Agreement.

Any acceptance by City of plans, specifications, construction contract documents, reports, diagrams, maps and other material prepared by Contractor shall not in any respect absolve Contractor from the responsibility Contractor has in accordance with customary standards of good professional practice in compliance with applicable federal, state, county, and/or municipal laws, ordinances, regulations, rules and orders.

11. COMPENSATION AND PAYMENT.

In consideration for Contractor's complete performance of Services, City shall pay Contractor for all materials provided and services rendered by Contractor at the rate per hour for labor and cost per unit for materials as outlined in Exhibit B, entitled "SCHEDULE OF FEES."

Contractor will bill City on a monthly basis for Services provided by Contractor during the preceding month, subject to verification by City. City will pay Contractor within thirty (30) days of City's receipt of invoice.

12. TERMINATION OF AGREEMENT.

Either Party may terminate this Agreement without cause by giving the other Party written notice ("Notice of Termination") which clearly expresses that Party's intent to terminate the Agreement. Notice of Termination shall become effective no less than thirty (30) calendar days after a Party receives such notice. After either Party terminates the Agreement, Contractor shall discontinue further services as of the effective date of termination, and City shall pay Contractor for all Services satisfactorily performed up to such date.

13. NO ASSIGNMENT OR SUBCONTRACTING OF AGREEMENT.

City and Contractor bind themselves, their successors and assigns to all covenants of this Agreement. This Agreement shall not be assigned or transferred without the prior written approval of City. Contractor shall not hire subcontractors without express written permission from City.

14. NO THIRD PARTY BENEFICIARY.

This Agreement shall not be construed to be an agreement for the benefit of any third party or parties and no third party or parties shall have any claim or right of action under this Agreement for any cause whatsoever.

15. INDEPENDENT CONTRACTOR.

Contractor and all person(s) employed by or contracted with Contractor to furnish labor and/or materials under this Agreement are independent contractors and do not act as agent(s) or employee(s) of City. Contractor has full rights, however, to manage its employees in their performance of Services under this Agreement. Contractor is not authorized to bind City to any contracts or other obligations.

16. NO PLEDGING OF CITY'S CREDIT.

Under no circumstances shall Contractor have the authority or power to pledge the credit of City or incur any obligation in the name of City. Contractor shall save and hold harmless the City, its City Council, its officers, employees, boards and commissions for expenses arising out of any unauthorized pledges of City's credit by Contractor under this Agreement.

17. CONFIDENTIALITY OF MATERIAL.

All ideas, memoranda, specifications, plans, manufacturing procedures, data, drawings, descriptions, documents, discussions or other information developed or received by or for Contractor and all other written information submitted to Contractor in connection with the performance of this Agreement shall be held confidential by Contractor and shall not, without the prior written consent of City, be used for any purposes other than the performance of the Services nor be disclosed to an entity not connected with performance of the Services. Nothing furnished to Contractor which is otherwise known to Contractor or becomes generally known to the related industry shall be deemed confidential.

18. USE OF CITY NAME OR EMBLEM.

Contractor shall not use City's name, insignia, or emblem, or distribute any information related to services under this Agreement in any magazine, trade paper, newspaper or other medium without express written consent of City.

19. OWNERSHIP OF MATERIAL.

All material, including information developed on computer(s), which shall include, but not be limited to, data, sketches, tracings, drawings, plans, diagrams, quantities, estimates, specifications, proposals, tests, maps, calculations, photographs, reports and other material developed, collected, prepared or caused to be prepared under this Agreement shall be the property of City but Contractor may retain and use copies thereof. City shall not be limited in any way or at any time in its use of said material. However, Contractor shall not be responsible for damages resulting from the use of said material for work other than Project, including, but not limited to, the release of this material to third parties.

20. RIGHT OF CITY TO INSPECT RECORDS OF CONTRACTOR.

City, through its authorized employees, representatives or agents shall have the right during the term of this Agreement and for three (3) years from the date of final payment for goods or services provided under this Agreement, to audit the books and records of Contractor for the purpose of verifying any and all charges made by Contractor in connection with Contractor compensation under this Agreement, including termination of Contractor. Contractor agrees to maintain sufficient books and records in accordance with generally accepted accounting principles to establish the correctness of all charges submitted to City. Any expenses not so recorded shall be disallowed by City.

Contractor shall submit to City any and all reports concerning its performance under this Agreement that may be requested by City in writing. Contractor agrees to assist City in meeting City's reporting requirements to the State and other agencies with respect to Contractor's Services hereunder.

21. CORRECTION OF SERVICES.

Contractor agrees to correct any incomplete, inaccurate or defective Services at no further costs to City, when such defects are due to the negligence, errors or omissions of Contractor.

22. FAIR EMPLOYMENT.

Contractor shall not discriminate against any employee or applicant for employment because of race, color, creed, national origin, gender, sexual orientation, age, disability, religion, ethnic background, or marital status, in violation of state or federal law.

23. HOLD HARMLESS/INDEMNIFICATION.

To the extent permitted by law, Contractor agrees to protect, defend, hold harmless and indemnify City, its City Council, commissions, officers, employees, volunteers and agents from and against any claim, injury, liability, loss, cost, and/or expense or damage, including all costs and reasonable attorney's fees in providing a defense to any claim arising therefrom, for which City shall become liable arising from Contractor's negligent, reckless or wrongful acts, errors, or omissions with respect to or in any way connected with the Services performed by Contractor pursuant to this Agreement.

24. INSURANCE REQUIREMENTS.

During the term of this Agreement, and for any time period set forth in Exhibit C, Contractor shall provide and maintain in full force and effect, at no cost to City insurance policies with respect to employees and vehicles assigned to the Performance of Services under this Agreement with coverage amounts, required endorsements, certificates of insurance, and coverage verifications as defined in Exhibit C.

25. AMENDMENTS.

This Agreement may be amended only with the written consent of both Parties.

26. INTEGRATED DOCUMENT.

This Agreement represents the entire agreement between City and Contractor. No other understanding, agreements, conversations, or otherwise, with any representative of City prior to execution of this Agreement shall affect or modify any of the terms or obligations of this Agreement. Any verbal agreement shall be considered unofficial information and is not binding upon City.

27. SEVERABILITY CLAUSE.

In case any one or more of the provisions in this Agreement shall, for any reason, be held invalid, illegal or unenforceable in any respect, it shall not affect the validity of the other provisions, which shall remain in full force and effect.

28. WAIVER.

Contractor agrees that waiver by City of any one or more of the conditions of performance under this Agreement shall not be construed as waiver(s) of any other condition of performance under this Agreement.

29. NOTICES.

All notices to the Parties shall, unless otherwise requested in writing, be sent to City addressed as follows:

City of Santa Clara
Attention: [insert Dept. here]
1500 Warburton Avenue
Santa Clara, California 95050
or by facsimile at (408) ____ - _____

And to Contractor addressed as follows:

Name: _____
Address: _____

or by facsimile at (____) ____ - ____

If notice is sent via facsimile, a signed, hard copy of the material shall also be mailed. The workday the facsimile was sent shall control the date notice was deemed given if there is a facsimile machine generated document on the date of transmission. A facsimile transmitted after 1:00 p.m. on a Friday shall be deemed to have been transmitted on the following Monday.

30. CAPTIONS.

The captions of the various sections, paragraphs and subparagraphs of this Agreement are for convenience only and shall not be considered or referred to in resolving questions of interpretation.

31. LAW GOVERNING CONTRACT AND VENUE.

This Agreement shall be governed and construed in accordance with the statutes and laws of the State of California. The venue of any suit filed by either Party shall be vested in the state courts of the County of Santa Clara, or if appropriate, in the United States District Court, Northern District of California, San Jose, California.

32. DISPUTE RESOLUTION.

- A. Unless otherwise mutually agreed to by the Parties, any controversies between Contractor and City regarding the construction or application of this Agreement, and claims arising out of this Agreement or its breach, shall be submitted to mediation within thirty (30) days of the written request of one Party after the service of that request on the other Party.
- B. The Parties may agree on one mediator. If they cannot agree on one mediator, the Party demanding mediation shall request the Superior Court of Santa Clara County to appoint a mediator. The mediation meeting shall not exceed one day (eight (8) hours). The Parties may agree to extend the time allowed for mediation under this Agreement.
- C. The costs of mediation shall be borne by the Parties equally.
- D. For any contract dispute, mediation under this section is a condition precedent to filing an action in any court. In the event of mediation which arises out of any dispute related to this Agreement, the Parties shall each pay their respective attorney's fees, expert witness costs and cost of suit through mediation only. In the event of litigation, the prevailing Party shall recover its reasonable costs of suit, expert's fees, and attorney's fees. If mediation does not resolve the dispute, the Parties agree that the matter shall be litigated in a court of law, and not subject to the arbitration provisions of the Public Contracts Code.

33. COMPLIANCE WITH ETHICAL STANDARDS.

Contractor shall:

- A. Read Exhibit D, entitled "ETHICAL STANDARDS FOR CONTRACTORS SEEKING TO ENTER INTO AN AGREEMENT WITH THE CITY OF SANTA CLARA, CALIFORNIA"; and,
- B. Execute Exhibit E, entitled "AFFIDAVIT OF COMPLIANCE WITH ETHICAL STANDARDS."

34. AFFORDABLE CARE ACT OBLIGATIONS

To the extent Contractor is obligated to provide health insurance coverage to its employees pursuant to the Affordable Care Act ("Act") and/or any other similar federal or state law, Contractor warrants that it is meeting its obligations under the Act and will fully indemnify and hold harmless City for any penalties, fines, adverse rulings, or tax payments associated with Contractor's responsibilities under the Act.

35. CONFLICT OF INTERESTS.

This Agreement does not prevent either Party from entering into similar agreements with other parties. To prevent a conflict of interest, Contractor certifies that to the best of its knowledge, no City officer, employee or authorized representative has any financial interest in the business of Contractor and that no person associated with Contractor has any interest, direct or indirect, which could conflict with the faithful performance of this Agreement. Contractor is familiar with the provisions of California Government Code Section 87100 and following, and certifies that it does not know of any facts which would violate these code provisions. Contractor will advise City if a conflict arises.

36. PROGRESS SCHEDULE.

The Progress Schedule will be as set forth in the attached Exhibit F, entitled "MILESTONE SCHEDULE" if applicable.

This Agreement may be executed in counterparts, each of which shall be deemed to be an original, but both of which shall constitute one and the same instrument; and, the Parties agree that signatures on this Agreement, including those transmitted by facsimile, shall be sufficient to bind the Parties.

The Parties acknowledge and accept the terms and conditions of this Agreement as evidenced by the following signatures of their duly authorized representatives. The Effective Date is the date that the final signatory executes the Agreement. It is the intent of the Parties that this Agreement shall become operative on the Effective Date.

CITY OF SANTA CLARA, CALIFORNIA
a chartered California municipal corporation

APPROVED AS TO FORM:

Dated: _____

RICHARD E. NOSKY, JR.
City Attorney

ATTEST:

ROD DIRIDON, JR.
City Clerk

RAJEEV BATRA
Acting City Manager
1500 Warburton Avenue
Santa Clara, CA 95050
Telephone: (408) 615-2210
Fax: (408) 241-6771

“CITY”

***INSERT CONTRACTOR’S NAME**
*choose one: corporation/partnership/individual

Dated: _____

By: _____
(Signature of Person executing the Agreement on behalf of Contractor)

Name: _____

Title: _____

Local Address: _____

Email Address: _____

Telephone: _____ () _____

Fax: _____ () _____

“CONTRACTOR”

**AGREEMENT FOR THE PERFORMANCE OF SERVICES
BY AND BETWEEN THE
CITY OF SANTA CLARA, CALIFORNIA,
AND
*INSERT CONTRACTOR’S NAME**

EXHIBIT A

SCOPE OF SERVICES

The Services to be performed for the City by the Contractor under this Agreement are more fully described in the Contractor’s proposal entitled, “*insert name of proposal” dated *insert date of proposal, which is attached to this Exhibit A.

**AGREEMENT FOR THE PERFORMANCE OF SERVICES
BY AND BETWEEN THE
CITY OF SANTA CLARA, CALIFORNIA,
AND
*INSERT CONTRACTOR'S NAME**

EXHIBIT B

FEE SCHEDULE

In no event shall the amount billed to City by Contractor for services under this Agreement exceed *spell out dollar amount (\$*insert numerical dollar amount), subject to budget appropriations.

**AGREEMENT FOR THE PERFORMANCE OF SERVICES
BY AND BETWEEN THE
CITY OF SANTA CLARA, CALIFORNIA,
AND
*INSERT CONTRACTOR'S NAME**

EXHIBIT C

INSURANCE REQUIREMENTS

Without limiting the Contractor's indemnification of the City, and prior to commencing any of the Services required under this Agreement, the Contractor shall provide and maintain in full force and effect, at its sole cost and expense, the following insurance policies with at least the indicated coverages, provisions and endorsements:

A. COMMERCIAL GENERAL LIABILITY INSURANCE

1. Commercial General Liability Insurance policy which provides coverage at least as broad as Insurance Services Office form CG 00 01. Policy limits are subject to review, but shall in no event be less than, the following:

\$1,000,000 Each Occurrence
\$2,000,000 General Aggregate
\$2,000,000 Products/Completed Operations Aggregate
\$1,000,000 Personal Injury

2. Exact structure and layering of the coverage shall be left to the discretion of Contractor; however, any excess or umbrella policies used to meet the required limits shall be at least as broad as the underlying coverage and shall otherwise follow form.
3. The following provisions shall apply to the Commercial Liability policy as well as any umbrella policy maintained by the Contractor to comply with the insurance requirements of this Agreement:
 - a. Coverage shall be on a "pay on behalf" basis with defense costs payable in addition to policy limits;
 - b. There shall be no cross liability exclusion which precludes coverage for claims or suits by one insured against another; and
 - c. Coverage shall apply separately to each insured against whom a claim is made or a suit is brought, except with respect to the limits of liability.

B. BUSINESS AUTOMOBILE LIABILITY INSURANCE

Business automobile liability insurance policy which provides coverage at least as broad as ISO form CA 00 01 with policy limits a minimum limit of not less than one million dollars (\$1,000,000) each accident using, or providing coverage at least as broad as, Insurance Services Office form CA 00 01. Liability coverage shall apply to all owned, non-owned and hired autos.

In the event that the Work being performed under this Agreement involves transporting of hazardous or regulated substances, hazardous or regulated wastes and/or hazardous or regulated materials, Contractor and/or its subcontractors involved in such activities shall provide coverage with a limit of two million dollars (\$2,000,000) per accident covering transportation of such materials by the addition to the Business Auto Coverage Policy of Environmental Impairment Endorsement MCS90 or Insurance Services Office endorsement form CA 99 48, which amends the pollution exclusion in the standard Business Automobile Policy to cover pollutants that are in or upon, being transported or towed by, being loaded onto, or being unloaded from a covered auto.

C. WORKERS' COMPENSATION

1. Workers' Compensation Insurance Policy as required by statute and employer's liability with limits of at least one million dollars (\$1,000,000) policy limit Bodily Injury by disease, one million dollars (\$1,000,000) each accident/Bodily Injury and one million dollars (\$1,000,000) each employee Bodily Injury by disease.
2. The indemnification and hold harmless obligations of Contractor included in this Agreement shall not be limited in any way by any limitation on the amount or type of damage, compensation or benefit payable by or for Contractor or any subcontractor under any Workers' Compensation Act(s), Disability Benefits Act(s) or other employee benefits act(s).
3. This policy must include a Waiver of Subrogation in favor of the City of Santa Clara, its City Council, commissions, officers, employees, volunteers and agents.

D. COMPLIANCE WITH REQUIREMENTS

All of the following clauses and/or endorsements, or similar provisions, must be part of each commercial general liability policy, and each umbrella or excess policy.

1. Additional Insureds. City of Santa Clara, its City Council, commissions, officers, employees, volunteers and agents are hereby added as additional insureds in respect to liability arising out of Contractor's work for City, using Insurance Services Office (ISO) Endorsement CG 20 10 11 85 or the combination of CG 20 10 03 97 and CG 20 37 10 01, or its equivalent.
2. Primary and non-contributing. Each insurance policy provided by Contractor shall contain language or be endorsed to contain wording making it primary insurance

as respects to, and not requiring contribution from, any other insurance which the Indemnities may possess, including any self-insurance or self-insured retention they may have. Any other insurance Indemnities may possess shall be considered excess insurance only and shall not be called upon to contribute with Contractor's insurance.

3. Cancellation.

- a. Each insurance policy shall contain language or be endorsed to reflect that no cancellation or modification of the coverage provided due to non-payment of premiums shall be effective until written notice has been given to City at least ten (10) days prior to the effective date of such modification or cancellation. In the event of non-renewal, written notice shall be given at least ten (10) days prior to the effective date of non-renewal.
- b. Each insurance policy shall contain language or be endorsed to reflect that no cancellation or modification of the coverage provided for any cause save and except non-payment of premiums shall be effective until written notice has been given to City at least thirty (30) days prior to the effective date of such modification or cancellation. In the event of non-renewal, written notice shall be given at least thirty (30) days prior to the effective date of non-renewal.
- c. Other Endorsements. Other endorsements may be required for policies other than the commercial general liability policy if specified in the description of required insurance set forth in Sections A through D of this Exhibit C, above.

E. ADDITIONAL INSURANCE RELATED PROVISIONS

Contractor and City agree as follows:

1. Contractor agrees to ensure that subcontractors, and any other party involved with the Services who is brought onto or involved in the performance of the Services by Contractor, provide the same minimum insurance coverage required of Contractor, except as with respect to limits. Contractor agrees to monitor and review all such coverage and assumes all responsibility for ensuring that such coverage is provided in conformity with the requirements of this Agreement. Contractor agrees that upon request by City, all agreements with, and insurance compliance documents provided by, such subcontractors and others engaged in the project will be submitted to City for review.
2. Contractor agrees to be responsible for ensuring that no contract used by any party involved in any way with the project reserves the right to charge City or Contractor for the cost of additional insurance coverage required by this

Agreement. Any such provisions are to be deleted with reference to City. It is not the intent of City to reimburse any third party for the cost of complying with these requirements. There shall be no recourse against City for payment of premiums or other amounts with respect thereto.

3. The City reserves the right to withhold payments from the Contractor in the event of material noncompliance with the insurance requirements set forth in this Agreement.

F. EVIDENCE OF COVERAGE

Prior to commencement of any Services under this Agreement, Contractor, and each and every subcontractor (of every tier) shall, at its sole cost and expense, provide and maintain not less than the minimum insurance coverage with the endorsements and deductibles indicated in this Agreement. Such insurance coverage shall be maintained with insurers, and under forms of policies, satisfactory to City and as described in this Agreement. Contractor shall file with the City all certificates and endorsements for the required insurance policies for City's approval as to adequacy of the insurance protection.

G. EVIDENCE OF COMPLIANCE

Contractor or its insurance broker shall provide the required proof of insurance compliance, consisting of Insurance Services Office (ISO) endorsement forms or their equivalent and the ACORD form 25-S certificate of insurance (or its equivalent), evidencing all required coverage shall be delivered to City, or its representative as set forth below, at or prior to execution of this Agreement. Upon City's request, Contractor shall submit to City copies of the actual insurance policies or renewals or replacements. Unless otherwise required by the terms of this Agreement, all certificates, endorsements, coverage verifications and other items required to be delivered to City pursuant to this Agreement shall be mailed to:

EBIX Inc.		
City of Santa Clara Electric Department		
P.O. 12010-S2	or	151 North Lyon Avenue
Hemet, CA 92546-8010		Hemet, CA 92543

Telephone number:	951-766-2280
Fax number:	770-325-0409
Email address:	ctsantaclara@ebix.com

H. QUALIFYING INSURERS

All of the insurance companies providing insurance for Contractor shall have, and provide written proof of, an A. M. Best rating of at least A minus 6 (A- VI) or shall be an insurance company of equal financial stability that is approved by the City or its insurance compliance representatives.

**AGREEMENT FOR THE PERFORMANCE OF SERVICES
BY AND BETWEEN THE
CITY OF SANTA CLARA, CALIFORNIA,
AND
*INSERT CONTRACTOR'S NAME**

EXHIBIT D

**ETHICAL STANDARDS FOR CONTRACTORS SEEKING TO ENTER INTO AN
AGREEMENT WITH THE CITY OF SANTA CLARA, CALIFORNIA**

Termination of Agreement for Certain Acts.

- A. The City may, at its sole discretion, terminate this Agreement in the event any one or more of the following occurs:
1. If a Contractor¹ does any of the following:
 - a. Is convicted² of operating a business in violation of any Federal, State or local law or regulation;
 - b. Is convicted of a crime punishable as a felony involving dishonesty³;
 - c. Is convicted of an offense involving dishonesty or is convicted of fraud or a criminal offense in connection with: (1) obtaining; (2) attempting to obtain; or, (3) performing a public contract or subcontract;
 - d. Is convicted of any offense which indicates a lack of business integrity or business honesty which seriously and directly affects the present responsibility of a City contractor or subcontractor; and/or,

¹ For purposes of this Agreement, the word "Consultant" (whether a person or a legal entity) also refers to "Contractor" and means any of the following: an owner or co-owner of a sole proprietorship; a person who controls or who has the power to control a business entity; a general partner of a partnership; a principal in a joint venture; or a primary corporate stockholder [i.e., a person who owns more than ten percent (10%) of the outstanding stock of a corporation] and who is active in the day to day operations of that corporation.

² For purposes of this Agreement, the words "convicted" or "conviction" mean a judgment or conviction of a criminal offense by any court of competent jurisdiction, whether entered upon a verdict or a plea, and includes a conviction entered upon a plea of nolo contendere within the past five (5) years.

³ As used herein, "dishonesty" includes, but is not limited to, embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, failure to pay tax obligations, receiving stolen property, collusion or conspiracy.

Made (or makes) any false statement(s) or representation(s) with respect to this Agreement.

2. If fraudulent, criminal or other seriously improper conduct of any officer, director, shareholder, partner, employee or other individual associated with the Contractor can be imputed to the Contractor when the conduct occurred in connection with the individual's performance of duties for or on behalf of the Contractor, with the Contractor's knowledge, approval or acquiescence, the Contractor's acceptance of the benefits derived from the conduct shall be evidence of such knowledge, approval or acquiescence.

B. The City may also terminate this Agreement in the event any one or more of the following occurs:

1. The City determines that Contractor no longer has the financial capability⁴ or business experience⁵ to perform the terms of, or operate under, this Agreement; or,
2. If City determines that the Contractor fails to submit information, or submits false information, which is required to perform or be awarded a contract with City, including, but not limited to, Contractor's failure to maintain a required State issued license, failure to obtain a City business license (if applicable) or failure to provide and maintain bonds and/or insurance policies required under this Agreement.

C. In the event a prospective Contractor (or bidder) is ruled ineligible (debarred) to participate in a contract award process or a contract is terminated pursuant to these provisions, Contractor may appeal the City's action to the City Council by filing a written request with the City Clerk within ten (10) days of the notice given by City to have the matter heard. The matter will be heard within thirty (30) days of the filing of the appeal request with the City Clerk. The Contractor will have the burden of proof on the appeal. The Contractor shall have the opportunity to present evidence, both oral and documentary, and argument.

⁴ Contractor becomes insolvent, transfers assets in fraud of creditors, makes an assignment for the benefit of creditors, files a petition under any section or chapter of the federal Bankruptcy Code (11 U.S.C.), as amended, or under any similar law or statute of the United States or any state thereof, is adjudged bankrupt or insolvent in proceedings under such laws, or a receiver or trustee is appointed for all or substantially all of the assets of Contractor.

⁵ Loss of personnel deemed essential by the City for the successful performance of the obligations of the Contractor to the City.

**AGREEMENT FOR THE PERFORMANCE OF SERVICES
BY AND BETWEEN THE
CITY OF SANTA CLARA, CALIFORNIA,
AND
*INSERT CONTRACTOR'S NAME**

EXHIBIT E

AFFIDAVIT OF COMPLIANCE WITH ETHICAL STANDARDS

I hereby state that I have read and understand the language, entitled "Ethical Standards" set forth in Exhibit D. I have the authority to make these representations on my own behalf or on behalf of the legal entity identified herein. I have examined appropriate business records, and I have made appropriate inquiry of those individuals potentially included within the definition of "Contractor" contained in Ethical Standards at footnote 1.

Based on my review of the appropriate documents and my good-faith review of the necessary inquiry responses, I hereby state that neither the business entity nor any individual(s) belonging to said "Contractor" category [i.e., owner or co-owner of a sole proprietorship, general partner, person who controls or has power to control a business entity, etc.] has been convicted of any one or more of the crimes identified in the Ethical Standards within the past five (5) years.

The above assertions are true and correct and are made under penalty of perjury under the laws of the State of California.

***INSERT CONTRACTOR'S NAME**

*choose one: a corporation/partnership/individual

By: _____
Signature of Authorized Person or Representative

Name: _____

Title: _____

NOTARY'S ACKNOWLEDGMENT TO BE ATTACHED

Please execute the affidavit and attach a notary public's acknowledgment of execution of the affidavit by the signatory. If the affidavit is on behalf of a corporation, partnership, or other legal entity, the entity's complete legal name and the title of the person signing on behalf of the legal entity shall appear above. Written evidence of the authority of the person executing this affidavit on behalf of a corporation, partnership, joint venture, or any other legal entity, other than a sole proprietorship, shall be attached.

**AGREEMENT FOR THE PERFORMANCE OF SERVICES
BY AND BETWEEN THE
CITY OF SANTA CLARA, CALIFORNIA,
AND
*INSERT CONTRACTOR'S NAME**

EXHIBIT F

MILESTONE SCHEDULE

(Not Applicable)

2 FUNCTIONAL REQUIREMENTS

2.1 Opportunity Overview

Silicon Valley Power (SVP) seeks to implement a data collection and reporting solution for the creation of its legally required and highly desirable standard reports. The goal of the project is to select and implement a solution that will accommodate SVP’s current and future reporting needs.

The system will provide SVP personnel with an intuitive interface that allows for the creation of ad-hoc reports, automated reports, and management dashboards. The system will be built on a data repository that can automatically or manually collect, translate, and load data from both internal and external data sources.

Include your responses to sections 2.3 to 2.6 in Chapter 4 of you proposal.

2.2 Expected Benefits

The following is a list of both the tangible and intangible benefits that are expected to be derived from the implementation of a data management and reporting system.

- Data readily available to SVP users and not dependent on multiple systems to provide the data
- Intuitive UI that allows of the creation of ad-hoc reports
- Improved business management through the availability of ad-hoc reports
- Improved data consistency
- Multiple reports draw from the same data source rather than multiple data sources
- Automatic tools are used to extract, translate, and load the data. No longer dependent upon the manual translation of data
- Improved data quality through better validation
- Improved data security
- Access to historical data
- Reduction in staff hours required to prepare the data and create the reports
- Reduction in staff hours trying to interpret data differences identified by compliance organizations
- Reduced risk of sending erroneous or inconsistent data to regulatory agencies.

2.3 System Counts

The following table identifies the number of users of individuals expected to use the system as well as the number of reports and interfaces required to build the system.

Requirement by the Numbers	Count
----------------------------	-------

Requirement by the Numbers	Count
Named users	30
Concurrent users	10
Reports developed	12
Management dashboards developed	4
Automated Interfaces developed	25
Individuals to be trained advanced system functionality	5
Individuals to be trained on basic system functionality	25

2.4 Application Architecture

The following questions are designed to understand your organization's strategy towards project implementation and ongoing support.

2.4.1 General – Application Architecture

#	Requirement	Response
1.	Describe your general system architecture and deployment options. What major components does the system consist of and how are they used.	
2.	Provide a description of your version management and a product development roadmap.	
3.	Describe the client machine requirements; OS, certificates, min. machine requirements	
4.	Describe the on-line help, user guides, and tutorials that are available w/in your system	

2.4.2 Security

#	Requirement	Response
1.	In addition to permission controls what other tools are used to secure data integrity and privacy. For example, how does your company utilize audit logs and/or data encryption.	
2.	Is your application Active Directory compatible and support single sign-on from the SVP domain?	
3.	Are certificates used to authenticate end users?	
4.	Are certificates used to protect data input streams?	

#	Requirement	Response
5.	Describe in detail the tools and strategy used to manage security. How is individual and group security managed? What level of granularity can read, write, edit, and administration rights be granted?	
6.	Describe how your system can be configured such that different user groups can be granted access to different modules of the system with varying read and write permissions	
7.	Describe how security violations are identified and flagged	
8.	Where physically would the data be stored? Would <u>any</u> of the data reside on servers outside of the United States?	
9.	Describe your strategy for protecting the backend database and servers from being attacked by viruses, spyware, denial of service attacks, etc.	
10.	How do you ensure the integrity and confidentiality of our data amongst your employees that have access to SVP's data?	
11.	Describe your backup strategy. How often is the data backed-up? How long are copies of the data stored? Where are copies of the data stored?	
12.	Does SVP own the data that resides on your servers?	

2.5 Project Management and System Support

2.5.1 Project Management

#	Requirement	Response
1.	Describe your company's experience in the design, implementation, integration, and support of technologies similar to those identified within the RFP.	
2.	Describe your project management approach. Define the communication mechanisms, controls, tasks, and procedures that will be used to manage all the tasks identified in the RFP. What documentation will be used to manage the project? Is your project management approach	

#	Requirement	Response
	documented in a white paper or set of standard procedures? If so, please attach.	
3.	Describe your internal project staffing model. Describe the roles and responsibilities of your staff and the level of effort required by each role.	
4.	Describe the qualifications of the staff that will be assigned to the project. What comparable experience do they have? What certifications do they hold?	
5.	Describe the staff commitment required by SVP to implement the project. What roles and responsibilities do you anticipate and the level of effort required.	
6.	Describe the testing strategy used to validate data and report accuracy.	
7.	Describe your training approach before, during, and after the project. How does your training incorporate SVPs data and reports	

2.5.2 Vendor System Support

#	Requirement	Response
1.	Describe your SLA(s) for system uptime.	
2.	Describe basic architecture of your service organization. What are the primary roles and responsibilities	
3.	Describe SVP's roles and responsibilities in maintaining the system. What skills and level of effort (LOE) are required for each of the roles identified? Describe how other successful clients have structured their internal support organization	
4.	Describe your incident handling process including process escalation paths and SLAs.	
5.	Describe your service request process including process escalation paths	
6.	Describe your maintenance service levels in detail and the differences in the service levels. What are the guaranteed response times and processes followed for specific types of issues.	
7.	Which support time & days do you provide as a standard service? Do you provide extended	

#	Requirement	Response
	service?	
8.	How will you handle updates and upgrades in your software to avoid changes or impact on our side? How do you approach change with respect to SVP processes, systems and services? Please advise the frequency of upgrades and bug fixes.	

2.6 System Features

The following is a series of questions designed to identify system features and functions and how these features are managed.

2.6.1 Data Collection / Integration

#	Requirement	Response
1.	What processes will you implement to proactively be aware and informed about published plans to change formats and availability of data?	
2.	List data sources from which your system currently extracts data. What is the cadence for which this data is collected for each of the data sources?	
3.	List the data sources where historic data is available	
4.	Describe the mechanism for correcting historic data	
5.	Describe the mechanism used for defining data collection frequencies	
6.	Can data from predefined sources be collected on an on-demand basis	
7.	Describe the integration tools that can be used to communicate the results of analysis to a downstream system	
8.	Describe the mechanism used to automatically access sites and extract data from sites that require authentication.	
9.	Describe your system's web scraping capabilities	
10.	Describe the tools available to handle complex data formats and unstructured data (like text)	

#	Requirement	Response
11	Describe the mechanism available to handle price corrections (published by data providers) through a managed and auditable process	
12	Describe the mechanisms used to implement custom data quality checks such as verifying data is on time, not duplicated, complete, within range, consistent with another data feed, etc. How will you or SVP backfill missing data?	
13	What tools are available to implement autocorrect rules in the event of missing, delayed or wrong data	
14	What notification techniques are available when erroneous data is discovered	
15	Can custom data quality checks be established for those importing a personal data set	
16	Ability to provide automatic status information on data delivery / delay?	
17	If an interface fails due to format changes at the data source, how is your process to adopt changes organized? Do you guarantee a reaction time on this and how fast will you fix issues?	
18	Describe your system's native data integration/interface tools and formats, such as csv file transfer, xml, html, web services, ODBC, JDBC, etc What are the limitations of the offered integration techniques?	
19	Will a data subscription involve polling and pulling data or will there exist the ability to push data into the data management system as it becomes available?	
20	Please describe all contingency and disaster recovery strategies. Do you have any fall-back strategies? Is there a monitoring in place? How will you provide resilience to network outages between SVP and your service?	
21	How would the integration into the SVP infrastructure ideally be set up from your point of view? Do you use Web Services or Direct Database Access?	

#	Requirement	Response
22	Describe your software's ability to publish report (Excel, csv, Word, pdf, etc.) files to target locations on a scheduled basis.	
23	Describe your system's ability to display and analyze data from a retail meter data management systems such as OSIsoft or Siemens	

2.6.4 Reporting and Data Presentation Features

#	Requirement	Response
1.	Describe how your software can be used to create ad-hoc reports such as: <ul style="list-style-type: none"> • Compare real time values against day ahead values • Calculate marginal costs • Keep interval straight- hours align with one another automatically or build a time offset • Min/ Max for attributes 	
2.	Describe ability to add user-defined fields and manually enter data to online reports	
3.	Describe your software's ability to display data graphically	
4.	Describe how your software can be used to manipulate data such as: <ul style="list-style-type: none"> • Data rollup – 5, 10, 15, 1 hour, day, week, month, year, YTD, MTD • Break-out by WECC on/off peak Daylight vs standard time • UTC • Ability to define arbitrary tariff period • Pivot table functionality 	

2.6.5 Analytical Capabilities

#	Requirement	Response
1.	Describe how your software can be used to select a data report, a given time range, and granularity, and can return historic data as far back as the data is available in the database.	
2.	Describe how your software can be used to retrieve history of forward curve points	

#	Requirement	Response
3.	Describe how your software can be used to populate and edit the database with user-defined forecast data	
4.	Describe how your software can be used to plot multiple forward curves against one-another regardless of the data source	
5.	Describe the trend line formulas that are available 'out-of-the-box'	
6.	Describe how your software can be used to directly extract data using SQL queries	

2.6.6 Dashboard Functionality

#	Requirement	Response
1.	Describe how your system can combine stored data with manually entered data to create "What if?" dashboard	
2.	Can your system download the results from a dashboard into an Excel, Word, or .PDF file	
3.	Describe how predefined dashboards can be created and assigned based on role Describe how a user can toggle between pre-defined dashboards	
4.	Describe your system's ability to create executive level dashboards that contain internally developed reports, alongside of RSS feeds, websites, and other digital sources	
5.	Describe your system's ability to integrate with other commercially available data visualization and dashboard systems	
6.	Describe the security associated with the creation, modifying, and sharing of dashboards	
7.	Describe the system's ability to have dashboards refresh automatically or at predefined intervals	
8.	Describe the types of content that can be included within a custom dashboard	

2.6.7 Reports and Data Presentation

The response to this RFP should accommodate the price associated with the development of three reports from each of the following four categories. The reports are to be developed when

the appropriate data sources are in place. Advanced training is to include the development of at least one of each type of report.

Report Type	# Sources (tables)	Multiple Time Granularity	Complex Logic	Simple Calculations
Simple	1	N	N	N
Simple Plus	<3	Y	N	N
Validation/ Comparison Report	<3	Y	N	Y
Complex	>3	Y	Y	Y

- Simple- Display data as it is stored in a table
- Simple Plus- Display data from multiple sources or at different time granularities at the same time granularity
- Validation/ Comparison Report- Show two data sets containing comparable data with differences between them
- Complex- Examples would include nested if/then logic, time off-set calculations, etc.

2.6.8 Data Sources

The response to this RFP should accommodate the deployment of 25 automated interfaces. Interfaces to the following data sources will be required or highly desired.

#	Data Source	Description	Note	Response
1.	CA-ISO-OMAR - Meter Data	(SQMD) Settlement Quality Meter Data	Credentials required Extraction tool available	
2.	CA-ISO - CMRI	Customer Market Results-Reports	Credentials required Extraction tool available	
3.	CA-ISO OASIS	Public Price and Market Information	Credential Required Extraction tool available	
4.	CA-ISO- MRI	Settlement Files	Credentials required Extraction tool available	
5.	OASIS Live	CRR Results & LMP Analysis / Data	City currently uses a custom built interface	
6.	NCPA Data Portal	SVP Portfolio Data	Credentials Required Extraction tool available	
7.	ACES	Scheduling Software	Sungard ERTM tool Extraction tool available	
8.	WREGIS	(REC) Renewable Energy Credits	Exports and imports	
9.	OATI	Schedule NERC e-Tagging App	Tagging for out of state energy Certificate Required	
10.	TANC	TANC offering transmission	Posting of surplus transmission to external	

#	Data Source	Description	Note	Response
			OASIS website	
11.	PI - Meter	Wholesale Meter Data System	OSISoft	
12.	MV90 -MDMS	Internal Retail Meter Data System/Billing		
13.	CA-ISO GRDT (Generation Resource Data Template)	Registry for Generating Resources- ISO file Requires a digital certificate	Referred to Master File Credentials Required	
14.	nMarket	SNCL CAISO Settlements Software		
15.	Harris Northstar	Retail sales figures & usage data (KwH)	Harris Northstar CIS	
16.	Supplier Invoice	Supplier and JPA invoices	Provided in a paper format	
17.	10 year load & resource report	Manually created CA-ISO report created by Ed S.		
18.	SCADA Generation		ABB Likely extracted from OSISoft	
19.	PG&E Metering Bills		PG&E	
20.	CMES	Continuous emissions monitoring systems		

3 PUBLIC NATURE OF PROPOSAL MATERIAL

Responses to this RFP become the exclusive property of SVP of Santa Clara. In the event SVP awards a contract related to this RFP, all proposals received in response to any RFP become a matter of public record and shall be regarded as public records, with the exception of those elements in each proposal which are defined by the Proposer as business or trade secrets and plainly marked as "Confidential," "Trade Secret," or "Proprietary." SVP shall not in any way be liable or responsible for the disclosure of any such proposal or portions thereof, if they are not plainly marked as "Confidential," "Trade Secret," or "Proprietary," or if disclosure, in SVP's sole discretion, is required under the Public Records Act as addressed below. Any proposal which contains language purporting to render all or significant portions of the proposal "Confidential," "Trade Secret," or "Proprietary" shall be regarded as non-responsive.

Although the California Public Records Act recognizes that certain confidential trade secret information may be protected from disclosure, SVP of Santa Clara may not, in its sole discretion, accept or approve that the information that a Proposer submits is a trade secret. If a request is made for information marked "Confidential," "Trade Secret," or "Proprietary," SVP shall provide the Proposer who submitted the information reasonable notice to allow the Proposer to seek protection from disclosure by a court of competent jurisdiction, at the Proposer's sole expense.

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This RFP does not commit SVP to enter into a contract, nor does it obligate SVP to pay for any costs incurred in preparation and submission of proposals or in anticipation of a contract.